

EXPRESS MAIL  
O T P E J C 147  
AUG 28 2002  
PATENT & TRADEMARK OFFICE

Docket No.: 11527.354

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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) 1772

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

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TC 1700

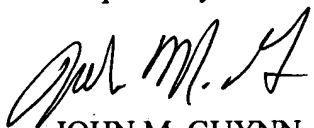
Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 28<sup>th</sup> day of August, 2002

Respectfully submitted,



JOHN M. GYNN  
Attorney for Applicant  
Registration No. 36,153



**022913**

PATENT TRADEMARK OFFICE

JMG:drb

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Khemani et al.

Docket No.

11527.354

Serial No.

10/087,256

Filing Date

March 1, 2002

Examiner

Not Yet Assigned

Group Art Unit

1772

Invention: Biodegradable Polymer Blends For Use in Making Films, Sheets and Other Articles of Manufacture



I hereby certify that the following correspondence:

Information Disclosure Statement and other documents as listed below

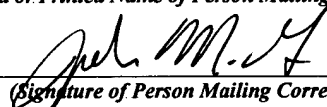
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 28, 2002  
(Date)

John M. Guynn

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)

EV 028 947 430 US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

Transmitted: Information Disclosure Statement  
Form PTO 1449 Listing Four (4) References  
Copies of Listed References  
Transmittal Letter  
Postcard

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EXPRESS MAIL LABEL NO.: EV 028 947 430 US

Form PTO-1449

Sheet 1 of 3

Applicant: Khemani et al.

Serial No.: 10/087,256

Att'y Docket No.: 11527.354

Filing Date: March 1, 2002

Group: 1772

Confirmation No.: 4244

For: BIODEGRADABLE POLYMER BLENDS FOR USE IN MAKING  
FILMS, SHEETS AND OTHER ARTICLES OF MANUFACTURE



SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A1.	6,130,271	10/10/00	Jarrett, et al.	523	113	01/09/98
_____ A2.	5,700,901	12/23/97	Hurst, et al.	528	354	01/11/95
_____ A3.	5,252,642	10/12/93	Sinclair, et al.	524	108	09/06/90
_____ A4.	5,080,665	01/14/92	Jarrett, et al.	606	219	07/06/90

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
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NONE

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SEP 3 - 2002

TC 1700

Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 028 947 430 US

Form PTO-1449

Sheet 2 of 3

Applicant: Khemani et al.

Serial No.: 10/087,256

Att'y Docket No.: 11527.354

Filing Date: March 1, 2002

Group: 1772

Confirmation No.: 4244

For: BIODEGRADABLE POLYMER BLENDS FOR USE IN MAKING  
FILMS, SHEETS AND OTHER ARTICLES OF MANUFACTURE

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#### Other Documents

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial\*

NONE

#### **References Cited by Applicants**

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 028 947 430 US

Form PTO-1449

Sheet 3 of 3

Applicant: Khemani et al.

Serial No.: 10/087,256

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Confirmation No.: 4244

For: BIODEGRADABLE POLYMER BLENDS FOR USE IN MAKING  
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Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:

Date Considered:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

08-29-02

1772

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
11527.354

In Re Application Of: Khemani et al.

Serial No.  
10/087,256Filing Date  
March 1, 2002Examiner  
Not Yet AssignedGroup Art Unit  
1772

Title: Biodegradable Polymer Blends For Use in Making Films, Sheets and Other Articles of Manufacture

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11527.354

In Re Application: Khemani et al.

Serial No.  
10/087,256

Filing Date  
March 1, 2002

Examiner  
Not Yet Assigned

Group Art Unit  
1772

Biodegradable Polymer Blends For Use in Making Films, Sheets and Other Articles of Manufacture



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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(Date)

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Signature

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature of Person Mailing Correspondence

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Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Dated: August 28, 2002

John M. Guynn  
Attorney for Applicants  
Registration No. 36,153



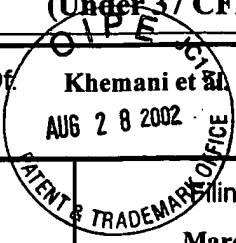
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PATENT TRADEMARK OFFICE

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SEP 3 - 2002  
TC 1700



<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 11527.354	
In Re Application Of: <b>Khemani et al</b> 				
Serial No. 10/087,256		Filing Date March 1, 2002		Examiner Not Yet Assigned
Group Art Unit 1772				
Title: <b>Biodegradable Polymer Blends For Use in Making Films, Sheets and Other Articles of Manufacture</b>				
<p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p> <p><b>RECEIVED</b> SEP 3 - 2002 TC 1700</p>				